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Patent  
Case No.: 58330US004

## IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

First Named Inventor: JOHNSON, MATTHEW B.

Patent No.: 7099083 Application No.: 10/770604

Dated: August 29, 2006 Confirmation No.

Title: POLYMERIC OPTICAL FILM

### REQUEST FOR CERTIFICATE OF CORRECTION UNDER 37 CFR § 1.322

Commissioner for Patents  
Office of Publication  
Attn: Certificate of Correction Branch  
P.O. Box 1450  
Alexandria, VA 22313-1450

#### CERTIFICATE OF MAILING

I hereby certify that this correspondence is being deposited with the United States Postal Service on the date shown below with sufficient postage as first class mail in an envelope addressed to: Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450.

1D-18-06

Date

Signed by: Kim Elfstrom

Dear Sir:

It is respectfully requested that a Certificate of Correction be issued in connection with the above-identified patent. The required text is submitted on the attached form.

Because the listed errors first occurred in the printed patent, and are not due to Applicant's mistake, no fee is required in connection with this Certificate of Correction.

A copy of the PTO Form 1449 which was submitted by Applicant in the subject application on April 12, 2004, and subsequently initialed and dated by the Examiner, is enclosed. This paperwork substantiates that the reference(s) listed on the attached Certificate of Correction should appear on the patent and that the error of omitting the reference(s) on the printed patent is attributed solely to the USPTO.

Respectfully submitted,

By: Anna A. Wetzels

Anna A. Wetzels, Reg. No.: 53,146  
Telephone No.: 651-737-8345

October 16, 2006

Date

Office of Intellectual Property Counsel  
3M Innovative Properties Company  
Facsimile No.: 651-736-3833

OCT 24 2006  
*Certificate  
of Correction*

OCT 24 2006

UNITED STATES PATENT AND TRADEMARK OFFICE  
**CERTIFICATE OF CORRECTION**

Page 1 of 1

PATENT NO.: 7099083

DATED: August 29, 2006

FIRST NAMED INVENTOR: JOHNSON, MATTHEW B.

It is certified that error appears in the above-identified patent and that said Letters Patent is hereby corrected as shown below:

First Page,

Col. 2 (Foreign Patent Documents), Line 1, Above "EP 0149878" insert -- EP 0079520 --.

Page 2,

Col. 2 (Foreign Patent Documents), Line 9, Above "GB 1000361" insert -- FR 2317076 --.

Col. 2 (Foreign Patent Documents), Line 13, Above "JP 2002-148348" insert -- JP 2002-148437 --.

Column 3,

Line 47, Delete "polynorbornene" and insert -- polynornornene --, therefor.

Column 15-16,

(Table 2), Line 3, Delete " $I_{\Delta_{pu}l}$ " and insert --  $I_{\Delta_{ou}l}$  --, therefor.

Column 19,

Line 63, Delete "Brickner" and insert -- Brückner --, therefor.

Column 21,

Line 5, In Claim 13, delete "light," and insert -- light --, therefor.

Line 33, In Claim 19, delete "therof." and insert -- thereof. --, therefor.

Column 22,

Line 15, In Claim 29, delete "mixtixes" and insert -- mixtures --, therefor.

MAILING ADDRESS OF SENDER:

PATENT NO. 7099083

**OFFICE OF INTELLECTUAL PROPERTY COUNSEL  
3M INNOVATIVE PROPERTIES COMPANY  
3M CENTER - P.O. BOX 33427  
SAINT PAUL, MINNESOTA 55133-3427**

No. of add'l copies: -0-  
@ 50¢ per page

No add'l copies

OCT 24 2006



## UNITED STATES PATENT AND TRADEMARK OFFICE

OCT 20 2006  
PATENT & TRADEMARK OFFICE

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United States Patent and Trademark Office  
Address: COMMISSIONER FOR PATENTS  
P.O. Box 1450  
Alexandria, Virginia 22313-1450  
[www.uspto.gov](http://www.uspto.gov)

APPLICATION NO.	FILING DATE	FIRST NAMED INVENTOR	ATTORNEY DOCKET NO.	CONFIRMATION NO.
10/770,604	01/29/2004	Matthew B. Johnson	58330US004	1605
32692	7590	05/16/2006	EXAMINER	
3M INNOVATIVE PROPERTIES COMPANY				
PO BOX 33427				
ST. PAUL, MN 55133-3427				
OFFICE OF INTELLECTUAL PROPERTY COUNSEL				
3M INNOVATIVE PROPERTIES COMPANY				
2872				

REFERRED TO

AAK

DATE MAILED: 05/16/2006

Please find below and/or attached an Office communication concerning this application or proceeding.

OCT 24 2006



**Supplemental  
Notice of Allowability**

Application No.	Applicant(s)
10/770,604	JOHNSON ET AL.
Examiner	Art Unit
Mark Consilvio	2872

-- The MAILING DATE of this communication appears on the cover sheet with the correspondence address--

All claims being allowable, PROSECUTION ON THE MERITS IS (OR REMAINS) CLOSED in this application. If not included herewith (or previously mailed), a Notice of Allowance (PTOL-85) or other appropriate communication will be mailed in due course. **THIS NOTICE OF ALLOWABILITY IS NOT A GRANT OF PATENT RIGHTS.** This application is subject to withdrawal from issue at the initiative of the Office or upon petition by the applicant. See 37 CFR 1.313 and MPEP 1308.

1.  This communication is responsive to 4/21/2006.
2.  The allowed claim(s) is/are 1-40.
3.  Acknowledgment is made of a claim for foreign priority under 35 U.S.C. § 119(a)-(d) or (f).
  - a)  All
  - b)  Some\*
  - c)  None
  1.  Certified copies of the priority documents have been received.
  2.  Certified copies of the priority documents have been received in Application No. \_\_\_\_\_.
  3.  Copies of the certified copies of the priority documents have been received in this national stage application from the International Bureau (PCT Rule 17.2(a)).

\* Certified copies not received: \_\_\_\_\_.

Applicant has THREE MONTHS FROM THE "MAILING DATE" of this communication to file a reply complying with the requirements noted below. Failure to timely comply will result in ABANDONMENT of this application.  
**THIS THREE-MONTH PERIOD IS NOT EXTENDABLE.**

4.  A SUBSTITUTE OATH OR DECLARATION must be submitted. Note the attached EXAMINER'S AMENDMENT or NOTICE OF INFORMAL PATENT APPLICATION (PTO-152) which gives reason(s) why the oath or declaration is deficient.
5.  CORRECTED DRAWINGS ( as "replacement sheets") must be submitted.
  - (a)  including changes required by the Notice of Draftsperson's Patent Drawing Review ( PTO-948) attached
    - 1)  hereto or 2)  to Paper No./Mail Date \_\_\_\_\_.
  - (b)  including changes required by the attached Examiner's Amendment / Comment or in the Office action of Paper No./Mail Date \_\_\_\_\_.

Identifying indicia such as the application number (see 37 CFR 1.84(c)) should be written on the drawings in the front (not the back) of each sheet. Replacement sheet(s) should be labeled as such in the header according to 37 CFR 1.121(d).
6.  DEPOSIT OF and/or INFORMATION about the deposit of BIOLOGICAL MATERIAL must be submitted. Note the attached Examiner's comment regarding REQUIREMENT FOR THE DEPOSIT OF BIOLOGICAL MATERIAL.

**Attachment(s)**

1.  Notice of References Cited (PTO-892)
2.  Notice of Draftperson's Patent Drawing Review (PTO-948)
3.  Information Disclosure Statements (PTO-1449 or PTO/SB/08),  
Paper No./Mail Date 04/15/2004
4.  Examiner's Comment Regarding Requirement for Deposit  
of Biological Material
5.  Notice of Informal Patent Application (PTO-152)
6.  Interview Summary (PTO-413),  
Paper No./Mail Date \_\_\_\_\_.
7.  Examiner's Amendment/Comment
8.  Examiner's Statement of Reasons for Allowance
9.  Other \_\_\_\_\_.

**DREW A. DUNN  
SUPERVISORY PATENT EXAMINER**

FORM 1449 INFORMATION DISCLOSURE STATEMENT IN AN APPLICATION (Use several sheets if necessary)		Docket Number: 58330US004	Application Number: 10/770604
		First Named Applicant: MATTHEW B. JOHNSON	
		Filing Date: January 29, 2004	Group Art Unit: UNKNOWN

U.S. PATENT DOCUMENTS						
EXAMINER INITIAL	DOCUMENT NO.	DATE	NAME	CLASS	SUBCLASS	FILING DATE IF APPROPRIATE
mc	2,618,012	11/18/1952	MILNE			
	2,961,711	11/19/1960	DIEDRICH ET AL.			
	3,014,234	12/26/1961	KOPPEHELE			
	3,046,599	07/31/1962	NICHOLAS ET AL.			
	3,057,835	10/09/1962	COOVER			
	3,231,642	01/25/1966	GOLDMAN ET AL.			
	3,241,662	03/22/1966	ROBINSON ET AL.			
	3,296,351	01/03/1967	RASMUSSEN			
	3,324,218	06/06/1967	GEBLER ET AL.			
	3,372,049	03/05/1968	SCHAFFHAUSEN			
	3,491,877	01/27/1970	ANDERSON ET AL.			
	3,502,766	03/24/1970	TSURUTA ET AL.			
	3,510,552	05/05/1970	TSURUTA ET AL.			
	3,705,283	12/12/1972	HOSOKAWA ET AL.			
	3,816,584	06/11/1974	SCHMIDT			
	3,853,598	12/10/1974	RAGUSE			
	3,887,745	06/03/1975	YOSHII ET AL.			
	3,890,421	06/17/1975	HABOZIT			
	3,903,234	09/02/1975	IKEDA ET AL.			
	3,952,073	04/20/1976	ISAKA ET AL.			
	3,995,007	11/30/1976	NAKAMURA ET AL.			
	4,045,515	09/30/1977	ISAKA ET AL			
	4,076,532	02/28/1978	GOTTERMEIER			
	4,134,957	06/16/1979	YOSHIMURA ET AL.			
	4,137,362	01/30/1979	MIKI ET AL.			
	4,138,459	02/06/1979	BRAZINSKY ET AL.			
mc	4,139,669	02/13/1979	CHANG			
mc	4,173,676	11/06/1979	ASAKURA ET AL.			

EXAMINER	DATE CONSIDERED
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EXAMINER: Initial if reference considered, whether or not citation is in conformance with MPEP 609; draw line through citation if not in conformance and not considered. Include copy of this form for next communication to the Applicant.

<b>FORM 1449*</b> <b>INFORMATION DISCLOSURE STATEMENT</b> <b>IN AN APPLICATION</b> (Use several sheets if necessary)				Docket Number: 58330US004	Application Number: 10/770604
				First Named Applicant: MATTHEW B. JOHNSON	
				Filing Date: January 29, 2004	Group Art Unit: UNKNOWN

MC	4,185,148	01/22/1980	SATO ET AL.			
	4,230,767	10/28/1980	ISAKA ET AL.			
	4,234,532	11/18/1980	MOTEGI ET AL.			
	4,283,462	08/11/1981	MEYER ET AL.			
	4,330,499	05/18/1982	VON UND ZU AUFSESS ET AL.			
	4,335,069	06/15/1982	LEVY			
	4,343,852	08/10/1982	ISAKA ET AL.			
	4,370,291	01/25/1983	KAZAMA ET AL.			
	4,393,115	07/12/1983	YOSHII ET AL.			
	4,410,582	10/18/1983	TSUNASHIMA ET AL.			
	4,414,261	11/08/1983	NANBU			
	4,428,723	01/31/1984	THIEL, DECEASED ET AL.			
	4,447,485	05/08/1984	ARITAKE			
	4,451,533	05/29/1984	WONG ET AL.			
	4,513,028	04/23/1985	ARITAKE			
	4,514,534	05/30/1985	DINARDO			
	4,536,441	08/20/1985	SCHMEER ET AL.			
	4,581,087	05/08/1986	JOHNSON			
	4,595,738	06/17/1986	HUFNAGEL ET AL.			
	4,625,372	12/02/1986	HUFNAGEL ET AL.			
	4,652,409	03/24/1987	LEESE ET AL.			
	4,675,582	06/23/1987	HOMMES ET AL.			
	4,698,261	10/06/1987	BOTHE ET AL.			
	4,716,068	12/29/1987	SEIFRIED ET AL			
	4,758,398	07/19/1988	SPARAPAHY ET AL.			
	4,825,111	04/25/1989	HOMMES ET AL.			
	4,853,602	08/01/1989	HOMMES ET AL.			
	4,908,278	03/13/1990	BLAND ET AL.			
	4,968,464	11/06/1990	KOJOH ET AL.			
MC	5,034,078	07/23/1991	HODGSON ET AL.			
MC	5,036,262	07/30/1991	SCHONBACH			

EXAMINER	DATE CONSIDERED
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FORM 1449* <b>INFORMATION DISCLOSURE STATEMENT</b>				Docket Number: 58330US004	Application Number: 10/770604
IN AN APPLICATION (Use several sheets if necessary)				First Named Applicant: MATTHEW B. JOHNSON	
				Filing Date: January 29, 2004	Group Art Unit: UNKNOWN

MC	\$,051,225	09/24/1991	HOMMES ET AL.			
	\$,064,579	11/12/1991	KENDALL ET AL.			
	\$,072,493	12/17/1991	HOMMES ET AL.			
	\$,073,458	12/17/1991	HWO ET AL.			
	5,077,121	12/31/1991	HARRISON ET AL.			
	5,091,237	02/25/1992	SCHLOEGL ET AL.			
	5,118,566	06/02/1992	WILHELM ET AL.			
	5,252,389	10/12/1993	SCHMIDT ET AL.			
	5,292,561	03/08/1994	PEIFFER ET AL.			
	5,292,563	03/08/1994	PEIFFER ET AL.			
	5,366,796	11/22/1994	MURSCHALL ET AL.			
	5,374,482	12/20/1994	OZARI ET AL.			
	5,429,785	07/04/1995	JOLLIFFE			
	5,451,455	09/19/1995	PEIFFER ET AL.			
	5,474,730	12/12/1995	GUST ET AL.			
	5,527,594	06/18/1996	KINOSHITA ET AL.			
	5,620,803	04/15/1997	OYAMA ET AL.			
	5,691,043	11/25/1997	KELLER ET AL.			
	5,795,834	08/18/1998	DEEB ET AL.			
	5,753,172	05/19/1998	FORREST JR. ET AL.			
	5,837,177	11/17/1998	DINARDO ET AL.			
	5,885,501	03/23/1999	GARDNER ET AL.			
	5,912,292	06/15/1999	SUN			
	6,303,067	10/16/2001	WONG ET AL.			
	6,358,457	03/19/2002	WONG ET AL.			
MC	US2002/0098372	07/25/2002	WONG ET AL.			
MC	6,451,425	09/17/2002	KOZULLA ET AL.			

**FOREIGN PATENT DOCUMENTS**

	DOCUMENT NO.	DATE	COUNTRY	CLASS	SUBCLASS	TRANSLATION	
						YES	NO
MC	EP 0 079 520	11/03/1982	EP			YES ABSTRACT	

EXAMINER	DATE CONSIDERED
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<b>FORM 1449*</b> <b>INFORMATION DISCLOSURE STATEMENT</b>		Docket Number: S8330US004	Application Number: 10/770604
<b>IN AN APPLICATION</b> (Use several sheets if necessary)		First Named Applicant: MATTHEW B. JOHNSON	
		Filing Date: January 29, 2004	Group Art Unit: UNKNOWN

MC	EP 0 149 878	05/25/1983	EP			
	EP 0 255 866	02/17/1988	EP			YES ABSTRACT
	EP 0 459 059	12/04/1991	EP			
	EP 0 546 748	06/16/1993	EP			
	EP 0 600 246	06/08/1994	EP			
	EP 0 650 818	05/03/1995	EP			
	FR 2 317 076	07/07/1975	FR			YES ABSTRACT
	GB 1,000,361	08/04/1965	GB			
	GB 2 094 221	09/15/1982	GB			
	JP 02-264905	10/29/1990	JP			YES ABSTRACT
	JP 2001-100031	04/13/2001	JP			YES ABSTRACT
	JP 2002-148437	05/22/2002	JP			YES ABSTRACT
	JP 2002-148438	05/22/2002	JP			YES ABSTRACT
	JP 2002-196134	07/10/2002	JP			YES
	WO 91/00799	01/24/1991	WIPO			
	WO 95/33618	12/14/1995	WIPO			
	WO 96/02386	02/01/1996	WIPO			
	WO 98/25753	06/18/1998	WIPO			YES ABSTRACT
	WO 99/29794	06/17/1999	WIPO			YES ABSTRACT
	WO 00/29499	05/25/2000	WIPO			
	WO 01/45920	06/28/2001	WIPO			
MC	WO 01/60607	08/23/2001	WIPO			
<b>OTHER DOCUMENTS</b> (Including Author, Title, Date, Pertinent Pages, Etc.)						

EXAMINER <i>/Mark Consilvio/</i>	DATE CONSIDERED 05/04/2006
EXAMINER: Initial if reference considered, whether or not citation is in conformance with MPEP 609; draw line through citation if not in conformance and not considered. Include copy of this form for next communication to the Applicant.	